

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re application of:** Chilimbi et al.

**Application No.** 10/693,834

**Filed:** October 24, 2003

**Confirmation No.** 1003

**For:** ADAPTIVE INSTRUMENTATION  
RUNTIME MONITORING AND  
ANALYSIS

FILED VIA EFS ON  
MARCH 7, 2007

**Examiner:** Not yet assigned

**Art Unit:** 2122

**Attorney Reference No.** 3382-66135-01

**INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. § 1.97(b)(3)**

COMMISSIONER FOR PATENTS

Listed on the accompanying form PTO-1449 and enclosed herewith are several English-language documents. Applicants respectfully request that these documents be listed as references cited on the issued patent.

Copies of United States patents and United States published patent applications do not have to be provided to the Patent Office (37 C.F.R. 1.98(a)(2)(ii)). Copies of unpublished U.S. applications do not have to be provided, as long as the application is available on PAIR, as this requirement of 37 C.F.R. § 1.98(a)(2)(iii) has been waived by the United States Patent and Trademark Office pursuant to the Official Gazette Notice on October 19, 2004 (1287 OG 163). Applicants will provide copies of such patents or applications upon request.

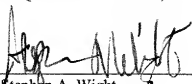
Applicants filed this Information Disclosure Statement ("IDS") before the mailing date of a first Office action on the merits. As a result, no fee should be required to file this IDS. However, if the Patent Office determines that a fee is required for Applicants to file this IDS, please charge any such fees, or credit overpayment, to Deposit Account No. 02-4550.

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Respectfully submitted,

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Attorney Docket Number	3382-66135-01
Application Number	10/693,834
Filing Date	October 24, 2003
First Named Inventor	Chilimbi
Art Unit	2122
Examiner Name	Not yet assigned

## U.S. PATENT DOCUMENTS

Copies of U.S. Patent documents do not need to be provided, unless requested by the Patent and Trademark Office. For patents, provide the patent number and the issue date. For published U.S. applications, provide the publication number and the publication date. For unpublished pending patent applications, provide the application number and the filing date.

Examiner's Initials*	Cite No. (optional)	Number	Publication Date	Name of Applicant or Patentee
		5,220,667	06-1993	Ichieda
		5,333,311	07-1994	Whipple II
		5,713,008	01-1998	Falkner
		5,950,007	09-1999	Nishiyama et al.
		6,073,232	06-2000	Kroeker et al.
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		2002/0133639	09-2002	Breslau et al.
		6,628,835	09-2003	Brill et al.
		6,886,167	04-2005	Breslau et al.

## OTHER DOCUMENTS

Examiner's Initials*	Cite No. (optional)	
		ENGLISH et al., "Loge: a self-organizing disk controller," <i>Proc. USENIX Winter 1992 Tech. Conf.</i> , San Francisco, pp. 237-51 (Jan. 1992)
		GRIFFIOEN et al., "Reducing File System Latency Using a Predictive Approach," 11 pp. (no date)
		HATFIELD et al., "Program Restructuring for Virtual Memory," <i>IBM Sys. J.</i> , no. 3, pp. 168-92 (1971)
		KROEGER et al., "Predicting Future File-system Actions from Prior Events," <i>Proc. USENIX Annual Tech. Conf.</i> , San Diego, pp. 1-10 (Jan. 1996)
		PALMER et al., "Fido: A Cache that Learns to Fetch," <i>Proc. 17th Int'l Conf. on Very Large Databases</i> , Barcelona, pp. 255-64 (Sept. 1991)
		PATTERSON et al., "Informed Prefetching and Caching," <i>Proc. 15th ACM Symp. on Operating System Principles</i> , Copper Mountain Resort, CO, pp. 79-95 (Dec. 1995)

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\* Examiner: Initial if reference considered, whether or not in conformance with MPEP 609. Draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.

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First Named Inventor	Chilimbi
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Examiner's Initials*	Cite No. (optional)	OTHER DOCUMENTS
		STAELIN et al., "Smart Filesystems," <i>Proc. USENIX - Winter '91</i> , Dallas, TX, pp. 45-51 (1991)
		TAIT et al., "Detection and Exploitation of File Working Sets," <i>IEEE</i> , pp. 2-9 (1991)

EXAMINER SIGNATURE:	DATE CONSIDERED:
<p>* Examiner: Initial if reference considered, whether or not in conformance with MPEP 609. Draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	